

PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

10/797,294

Filing Date

03-10-2004

First Named Inventor

Robert R. Sutter, Jr. et al

Art Unit

3636

Examiner Name

Anthony Derrell Barfield

Attorney Docket Number

45781.85038-001

**ENCLOSURES**

(Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Warner Norcross &amp; Judd LLP

Signature

Printed name

J. Ray Wood

Date

12-08-2004

Reg. No.

36,062

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

J. Ray Wood

Date

12-08-2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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*HPW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Anthony Derrell Barfield  
Group Art Unit : 3636  
Applicants : Robert R. Sutter, Jr. et al  
Serial No. : 10/797,294  
Filing Date : March 10, 2004  
Attorney Docket No. : 45781.85038-001  
For : FOLDABLE HEAD RESTRAINT

MS PETITION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION TO MAKE SPECIAL BECAUSE OF ACTUAL INFRINGEMENT  
(37 C.F.R. § 1.102(d) and M.P.E.P. § 708.02)

Applicant hereby petitions to make special this application because of actual infringement.

1. Attached as Exhibit A is a schematic diagram for a head restraint. The schematic diagram was supplied to Gill Industries by Johnson Controls, Inc (hereinafter "JCI"). The schematic diagram is dated September 11, 2004.

2. A rigid comparison of the JCI head restraint with the claims of the application has been made. In my opinion, some of the claims are unquestionably infringed by the JCI head restraint.

Applicants : Robert R. Sutter, Jr. et al  
Serial No. : 10/797,294  
Page No. : 2

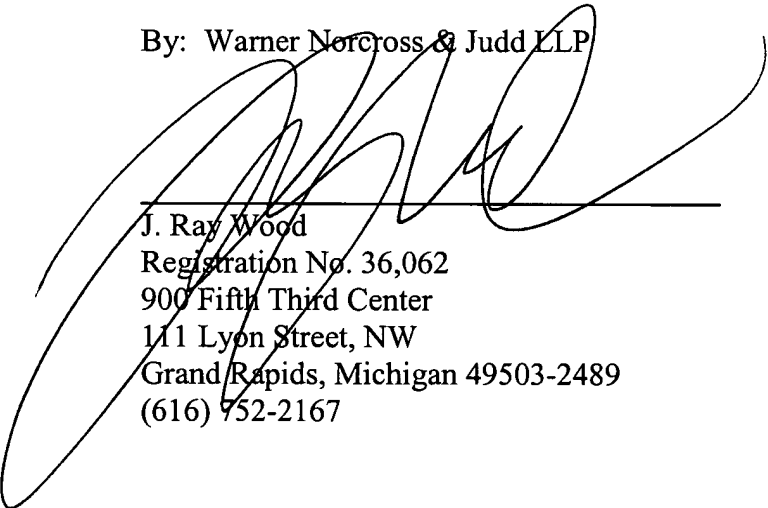
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3. I have good knowledge of the prior art related to head restraints. The references most closely related to the subject matter encompassed by the claims are the following patents:  
US 6,024,405; US 6,074,011; US 6,499,805; and US 4,576,413.

Respectfully submitted,

ROBERT R. SUTTER, JR. ET AL

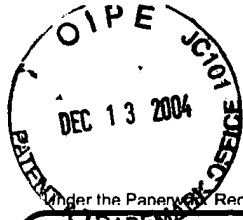
By: Warner Norcross & Judd LLP



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111 Lyon Street, NW  
Grand Rapids, Michigan 49503-2489  
(616) 752-2167





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Effective on 10/01/2004. Patent fees are subject to annual revision.

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)  
130.00

### Complete if Known

Application Number	10/797,294
Filing Date	03-10-2004
First Named Inventor	Robert R. Sutter, Jr.
Examiner Name	Anthony D. Barfield
Art Unit	3636
Attorney Docket No.	45781.85038-001

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order

☐ Deposit Account ☐ None

Deposit  
Account  
Number

23 0457

Deposit  
Account  
Name

Warner Norcross &  
Judd LLP

The Director is hereby authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below
- ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17
- ☒ Credit any overpayments

to the above-identified deposit account.

☐ Other (please identify):

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### FEE CALCULATION

#### 1. BASIC FILING FEE

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid(\$)
Utility Filing Fee	790	395	
Design Filing Fee	350	175	
Plant Filing Fee	550	275	
Reissue Filing Fee	790	395	
Provisional Filing Fee	160	80	
<b>Subtotal (1) \$</b>			0.00

### FEE CALCULATION (continued)

#### 2. EXTRA CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20	18	9
Each independent claim over 3	88	44
Multiple dependent claims	300	150
For Reissues, each claim over 20 and more than in the original patent	18	9
For Reissues, each independent claim more than in the original patent	88	44

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 20 or HP =  $\text{Total Claims} \times \text{Extra Claims}$  =  
HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 3 or HP =  $\text{Indep. Claims} \times \text{Extra Claims}$  =  
HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
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**Subtotal (2) \$** 0.00

#### 3. OTHER FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid(\$)
1-month extension of time	110	55	
2-month extension of time	430	215	
3-month extension of time	980	490	
4-month extension of time	1,530	765	
5-month extension of time	2,080	1,040	
Information disclosure stmt. fee	180	180	
37 CFR 1.17(q) processing fee	50	50	
Non-English specification	130	130	
Notice of Appeal	340	170	
Filing a brief in support of appeal	340	170	
Request for oral hearing	300	150	
Other: <u>Petition Fee</u>			130.00
<b>Subtotal (3) \$</b>			130.00

### SUBMITTED BY

Signature		Registration No. 36,062 (Attorney/Agent)	Telephone 616.752.2167
Name (Print/Type)	J. Roy Wood		Date 12-08-2004

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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